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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application, No.

10/786,679

Confirmation No. 3609

in ald not receive the following

Applicant

Nicholas J. Bate, et al.

Filed

February 25, 2004

TC/A.U.

1638

Examiner

Not Known

Docket No.

1587

Customer No.

27310

Title

A Promoter Localized in the Embryo Surrounding Region

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 CFR §1.56 and §1.97(b) in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. A copy of each cited reference is enclosed, except for those references which are already part of the filewrapper. This statement is being filed within the time period specified in 37 CFR §1.97(b). No fee is required.

Respectfully submitted,

aren K Varley

Karen K. Varley

Agent for Applicant(s)
Registration No. 45,751

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known			
Application Number	10/786,679		
Filing Date	February 25, 2004		
First Named Inventor	Nicholas J. Bate		
Art Unit	1638		
Examiner Name	Not Known		
Attorney Docket Number	1587		

	U.S. PATENT DOCUMENTS					
Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials *		Number - Kind Code ² (if known)			Passages or Relevant Figures Appear	
	A1	US-6,713,66 B2	03-30-2004	Pioneer Hi-Bred Int'l Inc.		
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	FOREIGN PATENT DOCUMENTS					
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Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY			T ⁶
	A2	WO 01/25439 A1	04-12-2001	Bio-Gemma		
	A3	WO 02/36788 A2	05-10-2002	INRA		

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Substitute for form 1449B/PTO Complete if Known Application Number 10/786,679 INFORMATION DISCLOSURE February 25, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Nicholas J. Bate 1638 Art Unit (Use as many sheets as necessary) Examiner Name Not Known Sheet of Attorney Docket Number 1587

	NON PATENT LITERATURE DOCUMENTS					
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2			
	А3	BONELLO, J-F, et al.; "Esr genes show different levels of expression in the same region of maize endosperm"; Gene 246 (2000) 219-227				
	A4	OPSAHL-FERSTAD, H-G, et al.; "ZmEsr, a novel endosperm-specific gene expressed in a restricted region around the maize embryo"; The Plant Journal (1997) 12(1) 235-246				
	A5	BATE, N., et al.; "An Invertase Inhibitor from Maize Localizes to Embryo Surrounding Region during Early Kernel Development"; Plant Physiology, January 2004, Vol. 134, pp. 246-254				
	A6	SHARMA, V.K., et al.; The Arabidopsis CLV3-like (CLE) genes are expressed in diverse tissues and encode secreated proteins"; Plant Molecular Biology 51:415-425, 2003				

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